

12/26/01
JC961 U.S. PTO

01-02-02

Attorney Docket
No. B-64424C (044368/0474)

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box **PATENT APPLICATION**
Commissioner for Patents
Washington, D.C. 20231

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: **KENNETH S. WALLEY**

For: **SYSTEM AND METHOD FOR RECEIVING A SIGNAL**

Enclosed are:

- | | | | |
|-----------|------------------------|----------|--|
| <u>1</u> | page of abstract | <u>X</u> | Combined Declaration & Power of Attorney |
| <u>24</u> | pages of specification | <u>X</u> | Preliminary Amendment |
| <u>7</u> | pages of claims | <u>X</u> | Return Receipt Postcard (MPEP 503) |
| <u>4</u> | pages of drawings | <u>X</u> | Post Office Express Certificate No. <u>E1554824110US</u> |

X This application claims the benefit of and is a:

- X continuation
____ continuation-in-part
____ divisional

of copending application(s)

| | |
|-------------------|--------------------|
| <u>Serial No.</u> | <u>filed on</u> |
| 09/409,484 | September 30, 1999 |

The filing fee has been calculated as shown below:

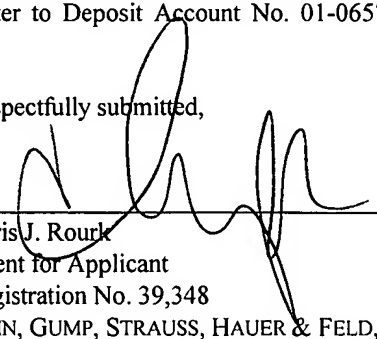
| For: | No. Filed | | No. Extra | Rate | Small Entity Fee |
|---------------------------|-----------|--------|-----------|--------------|------------------|
| Basic Fee | | | | | \$740 |
| Total Claims | 20 | - 20 = | 0 | x \$ 18 | \$-0- |
| Independent Claims | 3 | - 3 = | 0 | x \$ 84 | \$-0- |
| Multiple dependent claims | -NONE- | | | + \$130 | \$-0- |
| | | | | TOTAL | \$740 |

Our check in the amount of \$740.00 payment of the filing fee is enclosed.

The Commissioner of Patents and Trademarks is hereby authorized to charge any fee deficiency or to credit any fee overpayment relating to this matter to Deposit Account No. 01-0657. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

Date: December 26, 2001


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Agent for Applicant
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12/26/01

10033203-122601

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2001**

Patent fee are subject to annual revision.

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | To be Assigned |
| Filing Date | Herewith |
| First Named Inventor | Kenneth S. Walley |
| Examiner Name | To be Assigned |
| Group Art Unit | 2682 |
| Attorney Docket No. | 044368-0474 (B64424C) |

TOTAL AMOUNT OF PAYMENT (\$740.00)**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-0657Deposit Account Number

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|--------------------|
| 101 740 | 201 370 | Utility filing fee | \$740.00 |
| 106 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) 740.00 |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--|---|----------------|----------|
| <u> </u> - 20** = <u> </u> X <u> </u> | | | |
| Independent Claims | <u> </u> - 3** = <u> </u> X <u> </u> | | |
| Multiple Dependent | <u> </u> = <u> </u> | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|---|-------------------------------|
| 103 18 | 203 9 | Claims in excess of 20 | |
| 102 84 | 202 42 | Independent claims in excess of 3 | |
| 104 280 | 204 140 | Multiple dependent claim, if not paid | |
| 109 84 | 209 42 | **Reissue independent claims over original patent | |
| 110 18 | 210 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | (\$) <u> </u> |

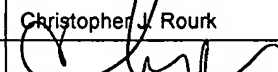
**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|---|----------------------------|--|-------------------------------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 400 | 216 200 | Extension for reply within second month | |
| 117 920 | 217 460 | Extension for reply within third month | |
| 118 1,440 | 218 720 | Extension for reply within fourth month | |
| 128 1,960 | 228 980 | Extension for reply within fifth month | |
| 119 320 | 219 160 | Notice of Appeal | |
| 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 280 | 221 140 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,280 | 241 640 | Petition to revive - unintentional | |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 460 | 243 230 | Design issue fee | |
| 144 620 | 244 310 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |
| Other fee (specify) <u> </u> | | | |
| SUBTOTAL (3) | | | (\$) <u> </u> |

*Reduced by Basic Filing Fee Paid

SUBMITTED BY**Complete (if applicable)**

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Christopher J. Rourk | Registration No. (Attorney/Agent) | 39,348 | Telephone | 214/969-4669 |
| Signature |  | | | Date | December 26, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.